

We are looking forward to the opportunity to meet with you! This check list is a list of items to bring to your appointment. These are suggestions to help make your appointment go smoothly and to help us get a better picture of your overall financial situation. Please note that not all these items may apply to you and your spouse/partner, but please bring what you can. If you have any questions in advance, please contact us!

Thank you!

*Kim, Korey, Ben & Erin*

## APPOINTMENT CHECKLIST

- Most recent investment statements, including former employer retirement plans
- Most recent statement of company stock ownership plan and details
- Current employer-sponsored retirement statement, including investment options and their historical performance
- Your Current Contribution Rate: \_\_\_\_\_ Employer Match: \_\_\_\_\_
- Spouse/Partner Current Contribution Rate: \_\_\_\_\_ Employer Match: \_\_\_\_\_
- Most recent statement of current or former employer-sponsored pension plan projections
- Most recent annuity statements
- Maturity dates and balances of certificates of deposit (CDs)
- Copy of trust, will, power of attorney, healthcare power of attorney, and related estate planning documents
- Social security numbers, dates of birth, addresses, and cell numbers of any individual you may consider naming as beneficiaries (for any entity, bring tax ID#, organization's legal name, and address)
- A check marked **VOID** from your primary checking account
- Most recent life insurance statement, including details on any employer-sponsored life insurance – include beneficiaries
- In-force disability and/or long-term care insurance policies
- Last year's tax return
- Most recent Social Security Statement ([www.SSA.gov](http://www.SSA.gov))

## INCOME

Your Annual Income: \$ \_\_\_\_\_ Your Employer: \_\_\_\_\_

Spouse/Partner Annual Income: \$ \_\_\_\_\_ Spouse Employer: \_\_\_\_\_

### ADDITIONAL ANNUAL INCOME SOURCES:

Source: \_\_\_\_\_ Amount \$: \_\_\_\_\_

FINANCIAL ASSETS	VALUE	INSTITUTION
Checking Account		
Savings Account		
Money Markets (held outside brokerage accounts)		
Restricted Stock		
Deferred Compensation		
529 Plans or College Savings Accounts		
<b>ADDITIONAL FINANCIAL ASSETS:</b>		

DEBT	CURRENT BALANCE	INTEREST RATE	MONTHLY PAYMENT	PAY-OFF DATE
Mortgage				
Vehicles				
Credit Cards				
Student Loans				
<b>ADDITIONAL DEBT:</b>				

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